

WHAT IS CLAIMED IS:

1. An optical circulator device for coupling three or more optical fiber ports, the device comprising:

first and second refraction elements each having a refraction axis perpendicular to a propagation axis, wherein each refraction element is arranged so that light traveling in a forward direction parallel to the propagation axis and having a first linear polarization orientation is refracted by a first angle relative to the refraction axis along a refraction plane defined by the propagation and refraction axes, and light traveling in a forward direction parallel to the propagation axis and having a second linear polarization orientation perpendicular to the first polarization orientation is refracted by a second angle along the refraction plane opposite the first angle, wherein the first and second refraction elements are arranged opposite each other relative to the propagation axis, with anti-parallel refraction axes and with parallel refraction planes so that light refracted by one refraction element is refracted back parallel to the propagation axis by the other refraction element;

first and second polarization orientation elements coupled to opposite ends of the first and second refraction elements, respectively; and

first and second polarization beam splitting (PBS) films deposited on said first and second polarization orientation elements, respectively, wherein the end face of each of the first and second PBS films opposite the polarization orientation elements defines one or more port coupling regions each for coupling light signals from an optical fiber port, wherein the first and second PBS films are dimensioned and arranged so as to split a light signal in a forward direction into two parallel beams of light linearly polarized perpendicular to each other, and to combine parallel beams of light linearly polarized perpendicularly to each other in the reverse direction into a single beam of light;

wherein the first polarization orientation element is arranged with respect to the first refraction element and the first PBS film so as to orient the polarization of both of the parallel light beams of a first optical signal propagating along a forward direction from a first port coupling region on the first PBS film parallel to the first linear polarization orientation so that both beams are refracted by the first angle by the first refraction element, and to orient the polarization of two beams linearly polarized parallel to each other propagating in the reverse direction so that they are polarized perpendicular to each other; and

31 wherein the second polarization orientation element is arranged with respect to
32 the second refraction element and the second PBS film so as to orient the polarization of both of
33 the parallel light beams of a second optical signal propagating along a forward direction from a
34 second port coupling region on the second PBS film parallel to the second linear polarization
35 orientation so that both beams are refracted by the second angle by the second refraction
36 element, and to orient the polarization of two beams linearly polarized parallel to each other
37 propagating in the reverse direction so that they are mutually perpendicular;

38 whereby the first optical signal passes from the first port coupling region to the
39 second port coupling region, and the second optical signal passes from the second port coupling
40 region to a third port coupling region.

1 2. The device of claim 1, wherein each of the first and second refraction
2 elements includes a Wollaston Prism element.

3 3. The device of claim 1, wherein the first and second polarization
4 orientation elements each consists of a Faraday rotator element having two or more reversed
5 magnetic domains arranged such that the states of polarization of the two parallel light beams of
6 an optical signal are rotated in opposite directions.

7 4. The device of claim 3, wherein the first and second PBS films are
8 arranged such that the optic axis of each points in a direction that is approximately 45° relative to
9 the propagation axis and approximately 45° relative to a third axis that is perpendicular to both
10 the propagation and refraction axes.

1 5. The device of claim 1, wherein the first and second polarization
2 orientation elements each includes a Faraday rotator element and a bi-layer waveplate film
3 deposited thereon.

4 6. The device of claim 5, wherein the first and second PBS films are
5 deposited on the first and second Faraday rotator elements, respectively, such that the first and
6 second waveplate films are coupled to the first and second refraction elements, respectively.

1 7. The device of claim 5, wherein the first and second PBS films are
2 deposited on the first and second waveplate films, respectively, such that the first and second
3 Faraday rotators are coupled to the first and second refraction elements, respectively.

1 8. The device of claim 5, wherein each of the first and second Faraday
2 rotator elements has two or more reversed magnetic domains, and wherein each is arranged such
3 that the states of polarization of the two parallel light beams of an optical signal are rotated in
4 opposite directions.

1 9. The device of claim 5, wherein each of the first and second Faraday
2 rotator elements is uniformly poled such that the states of polarization of the two parallel light
3 beams of an optical signal are rotated in the same direction, wherein one or more portions of
4 each of the first and second waveplate films has been removed, and wherein each waveplate film
5 is arranged and dimensioned such that the state of polarization of only one of the two parallel
6 light beams of an optical signal is rotated.

1 10. The device of claim 9, wherein the first and second PBS films are
2 arranged such that the optic axis of each points in a direction that is approximately 45° relative to
3 the propagation axis and approximately 45° relative to a third axis that is perpendicular to both
4 the propagation and refraction axes.

1 11. The device of claim 5, wherein the first and second PBS films are
2 arranged such that the optic axis of each points in a direction that is approximately 45° relative to
3 the propagation axis and in the plane defined by the propagation axis and a third axis
4 perpendicular to both the propagation and refraction axes.

1 12. The device of claim 1, wherein the first and second refraction elements are
2 arranged relative to each other such that the center-to-center spacing of port coupling regions on
3 each of the first and second PBS films is between about 100μm and about 400μm.

1 13. The device of claim 1, wherein each of the first and second PBS films is
2 deposited using a source material selected from the group consisting of Silicon (Si), and Ge.

1 14. The device of claim 1, wherein each of the first and second polarization
2 orientation elements includes a Faraday rotator element formed in part by depositing a magnetic
3 garnet film on a non-magnetic substrate.

1 15. The device of claim 14, wherein the garnet film is deposited using liquid
2 phase epitaxy (LPE).

1 16. The device of claim 14, wherein the garnet film is grown in the form:
2 $RE1_a RE2_b Bi_{3-a-b} Fe_{5-c-d} M1_c M2_d O_{12}$, where RE1 and RE2 are each selected from the group
3 consisting of La, Pr, Nd, Sm, Eu, Gd, Tb, Dy, Ho, Yb, and Lu, and wherein M1 and M2 are each
4 selected from the group consisting of Ga, Al, In and Sc.

1 17. The device of claim 14, wherein each of the first and second Faraday
2 rotator elements has two or more reversed magnetic domains arranged such that the states of
3 polarization of the two parallel light beams of an optical signal are rotated in opposite directions.

1 18. The device of claim 14, wherein each of the first and second Faraday
2 rotator elements has a substantially uniform magnetic profile such that the states of polarization
3 of the two parallel light beams of an optical signal are rotated in the same direction.

1 19. The device of claim 14, wherein each of the first and second polarization
2 orientation elements further includes a waveplate film formed by depositing a bi-layer film on
3 the respective Faraday rotator element.

1 20. The device of claim 19, wherein the thickness of each waveplate film
2 along the propagation axis is between about $5\mu m$ and about $20\mu m$.

1 21. The device of claim 19, wherein one or more portions of each of the first
2 and second waveplate films have been removed, and wherein each of the first and second
3 waveplate films are arranged such that the state of polarization of only one of the two parallel
4 light beams of an optical signal is rotated by each waveplate film.

1 22. The device of claim 1, wherein the thickness of each PBS film along the
2 propagation axis is between about 0.25mm and about 0.5mm.

1 23. An optical circulator device for coupling three or more optical fiber ports,
2 the device comprising:
3 first and second refraction elements each having a refraction axis perpendicular to
4 a propagation axis, wherein each refraction element is arranged so that light traveling in a
5 forward direction parallel to the propagation axis and having a first linear polarization orientation
6 is refracted by a first angle relative to the refraction axis along a refraction plane defined by the
7 propagation and refraction axes, and light traveling in a forward direction parallel to the
8 propagation axis and having a second linear polarization orientation perpendicular to the first
9 polarization orientation is refracted by a second angle along the refraction plane opposite the first
10 angle, wherein the first and second refraction elements are arranged opposite each other relative
11 to the propagation axis, with anti-parallel refraction axes and with parallel refraction planes so
12 that light refracted by one refraction element is refracted back parallel to the propagation axis by
13 the other refraction element;
14 first and second polarization orientation elements coupled to opposite ends of the
15 first and second refraction elements, respectively;
16 a polarization beam splitting (PBS) film deposited on said first polarization
17 orientation element, wherein the end face of the PBS film opposite the first polarization
18 orientation element defines three or more port coupling regions for coupling light signals from
19 two or more optical fiber ports, wherein the PBS film is dimensioned and arranged so as to split
20 a light signal in a forward direction into two parallel beams of light linearly polarized
21 perpendicular to each other, and to combine parallel beams of light linearly polarized
22 perpendicularly to each other in the reverse direction into a single beam of light; and
23 a reflection element coupled to the second polarization orientation element
24 opposite the second refraction element, wherein the reflection element is arranged such that the
25 beam components of a light signal propagating in the forward direction are reflected back in the
26 reverse direction;
27 wherein the first polarization orientation element is arranged with respect to the
28 first refraction element and the PBS film so as to orient the polarization of both of the parallel
29 light beams of a first optical signal propagating along a forward direction from a first port
30 coupling region on the PBS film parallel to the first linear polarization orientation so that both
31 beams are refracted by the first angle by the first refraction element, and to orient the

32 polarization of two beams linearly polarized parallel to each other propagating in the reverse
33 direction so that they are polarized perpendicular to each other; and
34 wherein the second polarization orientation element rotates the polarization state
35 of each of the parallel light beams of the first optical signal propagating along the forward
36 direction by 45° in one direction, and wherein the second polarization orientation element
37 rotates, by 45° in the same direction, the polarization state of both of the parallel light beams of
38 the first optical signal propagating along the reverse direction after being reflected by the
39 reflection element such that both beams are parallel to the second linear polarization orientation,
40 and such that both beams are refracted by the second angle by the second refraction element;
41 whereby the first optical signal passes from the first port coupling region to the
42 second port coupling region.

1 24. The device of claim 23, wherein each of the first and second refraction
2 elements includes a Wollaston Prism element.

1 25. The device of claim 23, wherein the first polarization orientation element
2 consists of a Faraday rotator element having two or more reversed magnetic domains arranged
3 such that the states of polarization of the two parallel light beams of an optical signal are rotated
4 in opposite directions.

1 26. The device of claim 23, wherein the PBS film is arranged such that the
2 optic axis points in a direction that is approximately 45° relative to the propagation axis and
3 approximately 45° relative to a third axis that is perpendicular to both the propagation and
4 refraction axes.

1 27. The device of claim 23, wherein the first polarization orientation elements
2 includes a Faraday rotator element and a bi-layer waveplate film deposited thereon.

1 28. The device of claim 27, wherein the PBS film is deposited on the first
2 Faraday rotator element such that the waveplate film is coupled to the first refraction element.

1 29. The device of claim 27, wherein the PBS film is deposited on the
2 waveplate film such that the first Faraday rotator is coupled to the first refraction element.

1 30. The device of claim 27, wherein the first Faraday rotator element has two
2 or more reversed magnetic domains, and is arranged such that the states of polarization of the
3 two parallel light beams of an optical signal are rotated in opposite directions.

1 31. The device of claim 23, wherein the elements of the device are
2 dimensioned such that the center-to-center spacing of port coupling regions on the PBS film is
3 between about 100 μ m and about 400 μ m.

1 32. The device of claim 23, wherein each of the first and second polarization
2 orientation elements includes a Faraday rotator element formed in part by depositing a magnetic
3 garnet film on a non-magnetic substrate.

1 33. The device of claim 32, wherein the first Faraday rotator element has two
2 or more reversed magnetic domains arranged such that the states of polarization of the two
3 parallel light beams of an optical signal are rotated in opposite directions, and wherein the
4 second Faraday rotator element has a substantially uniform magnetic profile such that the states
5 of polarization of the two parallel light beams of an optical signal are rotated in the same
6 direction.

1 34. The device of claim 32, wherein each of the first and second Faraday
2 rotator elements have a substantially uniform magnetic profile such that the states of polarization
3 of the two parallel light beams of an optical signal are rotated in the same direction.

1 35. The device of claim 32, wherein the first polarization orientation element
2 further includes a waveplate film formed by depositing a bi-layer film on the first Faraday rotator
3 element.

1 36. The device of claim 35, wherein one or more portions of the first
2 waveplate film has been removed, and wherein the first waveplate film is arranged such that the
3 state of polarization of only one of the two parallel light beams of an optical signal is rotated by
4 the first waveplate film.

1 37. The device of claim 23, wherein the reflection element includes a thin
2 metallic film layer deposited on the second polarization orientation element.

1 38. The device of claim 23, wherein the reflection element includes one or
2 more dielectric layers deposited on the second polarization orientation element.

1 39. An optical circulator device for coupling three or more optical fiber ports,
2 the device comprising:

3 first and second refraction elements each having a refraction axis perpendicular to
4 a propagation axis, wherein each refraction element is arranged so that light traveling in a
5 forward direction parallel to the propagation axis and having a first linear polarization orientation
6 is refracted by a first angle relative to the refraction axis along a refraction plane defined by the
7 propagation and refraction axes, and light traveling in a forward direction parallel to the
8 propagation axis and having a second linear polarization orientation perpendicular to the first
9 polarization orientation passes through unrefracted, wherein the first and second refraction
10 elements are arranged opposite each other relative to the propagation axis, with anti-parallel
11 refraction axes and with parallel refraction planes so that light refracted by one refraction
12 element is refracted back parallel to the propagation axis by the other refraction element;

13 first and second polarization orientation elements coupled to opposite ends of the
14 first and second refraction elements, respectively; and

15 first and second polarization beam splitting (PBS) films deposited on said first
16 and second polarization orientation elements, respectively, wherein the end face of each of the
17 first and second PBS films opposite the polarization orientation elements defines one or more
18 port coupling regions each for coupling light signals from an optical fiber port, wherein the first
19 and second PBS films are dimensioned and arranged so as to split a light signal in a forward
20 direction into two parallel beams of light linearly polarized perpendicular to each other, and to
21 combine parallel beams of light linearly polarized perpendicularly to each other in the reverse
22 direction into a single beam of light;

23 wherein the first polarization orientation element is arranged with respect to the
24 first refraction element and the first PBS film so as to orient the polarization of both of the
25 parallel light beams of a first optical signal propagating along a forward direction from a first

port coupling region on the first PBS film parallel to the first linear polarization orientation so that both beams are refracted by the first angle by the first refraction element, and to orient the polarization of two beams linearly polarized parallel to each other propagating in the reverse direction so that they are polarized perpendicular to each other; and

wherein the second polarization orientation element is arranged with respect to the second refraction element and the second PBS film so as to orient the polarization of both of the parallel light beams of a second optical signal propagating along a forward direction from a second port coupling region on the second PBS film parallel to the second linear polarization orientation so that both beams pass through the second refraction element unrefracted, and to orient the polarization of two beams linearly polarized parallel to each other propagating in the reverse direction so that they are mutually perpendicular;

whereby the first optical signal passes from the first port coupling region to the second port coupling region, and the second optical signal passes from the second port coupling region to the third port coupling region.

40. The device of claim 39, wherein each of the first and second refraction elements includes a Rochon Prism element.

41. The device of claim 39, wherein the first and second polarization orientation elements each includes a Faraday rotator element and a bi-layer waveplate film deposited thereon.

42. The device of claim 41, wherein the first and second PBS films are deposited on the first and second Faraday rotator elements, respectively, such that the first and second waveplate films are coupled to the first and second refraction elements, respectively.

43. The device of claim 41, wherein the first and second PBS films are deposited on the first and second waveplate films, respectively, such that the first and second Faraday rotators are coupled to the first and second refraction elements, respectively.

44. The device of claim 41, wherein each of the first and second Faraday rotator elements has two or more reversed magnetic domains, and wherein each is arranged such

that the states of polarization of the two parallel light beams of an optical signal are rotated in opposite directions.

45. The device of claim 41, wherein the first and second PBS films are arranged such that the optic axis of each points in a direction that is approximately 45° relative to the propagation axis and in the plane defined by the propagation axis and a third axis perpendicular to both the propagation and refraction axes.

46. The device of claim 39, wherein the first and second refraction elements are arranged relative to each other such that the center-to-center spacing of port coupling regions on each of the first and second PBS films is between about $100\mu\text{m}$ and about $400\mu\text{m}$.

47. The device of claim 39, wherein each of the first and second PBS films is deposited using a source material selected from the group consisting of Silicon (Si), and Ge.

48. The device of claim 39, wherein each of the first and second polarization orientation elements includes a Faraday rotator element formed in part by depositing a magnetic garnet film on a non-magnetic substrate.

49. The device of claim 48, wherein the garnet film is deposited using liquid phase epitaxy (LPE).

50. The device of claim 48, wherein the garnet film is grown in the form: $\text{RE1}_a\text{RE2}_b\text{Bi}_{3-a-b}\text{Fe}_{5-c-d}\text{M1}_c\text{M2}_d\text{O}_{12}$, where RE1 and RE2 are each selected from the group consisting of La, Pr, Nd, Sm, Eu, Gd, Tb, Dy, Ho, Yb, and Lu, and wherein M1 and M2 are each selected from the group consisting of Ga, Al, In and Sc.

51. The device of claim 48, wherein each of the first and second Faraday rotator elements has two or more reversed magnetic domains arranged such that the states of polarization of the two parallel light beams of an optical signal are rotated in opposite directions.

52. The device of claim 48, wherein each of the first and second polarization orientation elements further includes a waveplate film formed by depositing a bi-layer film on the respective Faraday rotator element.

1 53. The device of claim 52, wherein the thickness of each waveplate film
2 along the propagation axis is between about 5 μ m and about 20 μ m.

1 54. The device of claim 39, wherein the thickness of each PBS film along the
2 propagation axis is between about 0.25mm and about 0.5mm.

2025 RELEASE UNDER E.O. 14176